

## Professional Partnership Model

Establish and grow your own in-house investment service as a Professional Partner with Raymond James and extend the range of services you offer to clients.

Our solution provides all the regulatory, compliance, operational and commercial support needed. We'll set up a tripartite service agreement between your firm, Raymond James and the wealth managers involved so that you retain control of your clients.

You'll have full access to:

### Open architecture environment

- Access a large range of assets, tax wrappers, and a full range of investments
- LSE member firm
- Multiple pricing options
- Client account protection
- Ability to hold accounts in GBP, Euros and USD

### High quality research\*

- Access to Raymond James' award-winning equity research, covering 1,000+ companies across 9 sectors, plus economic and strategy research
- Global equity, economic and strategy research written by top tier, third party investment banks as well as niche providers
- Daily morning research notes, ideas and gilt sheets from various agents
- Fund research from multiple providers at preferential rates

\*Available via research aggregation platform; costs apply

### Regulatory oversight

- Supervision of investment management and financial planning activities
- Certification to perform regulated activity
- Professional indemnity insurance cover

### Technology solutions

- Institutional quality trade generation, portfolio modelling and rebalancing tools via our award winning Trading and Rebalancing System
- Our IT professionals constantly enhance our system resilience and data security
- Fully managed IT package - including installation of hardware, maintenance and support

### Robust reporting

- Time-weighted returns and money-weighted returns performance reports which meet FCA requirements for DFM
- 24/7 online access for clients to view holdings and transactions via Client Access
- Ad hoc reporting via the Raymond James portfolio management system
- CGIX CGT calculator provides 'what if' scenarios to minimise CGT liabilities and meet HMRC requirements
- CTVs produced for all UK accounts custodied with Pershing

### Measurable price improvement

- Our trade execution capabilities allow us to achieve a material price improvement for the benefit of clients
- We executed approximately 297,000 trades for listed securities in calendar year 2022, delivering an average of 32bps in price improvement
- This represents an average (calculated as the mean) of £25.06 per trade, which covers a meaningful portion of trade and/or custody charges

### Enhanced fund terms

- We are able to leverage the Raymond James group purchasing power, allowing us to achieve enhanced terms with fund groups
- Following the acquisition of Charles Stanley, further significant enhancements have been achieved, thereby providing meaningful savings to clients



Having the support of Raymond James has enabled us to take time to concentrate on managing our clients' money, strengthening our foundations and preparing the next stage of our business model

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We shall process any personal data you provide to us in accordance with the applicable privacy notice displayed on our [website](#).

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