RAYMOND JAMES

Pricing Model options

Raymond James allows you to use multiple pricing models for different client segments, with options that suit high-net-worth clients plus those in the wealth accumulation phase. Choose from three pricing models depending on your clients' portfolio sizes and expected trading volumes.

Our tiered-bundled pricing model has four tiers that reduce from 0.30% to 0.15% as the portfolio value increases*. We also have available our semi-bundled and unbundled options.

	Model 1 Tiered Bundled 0.30% down to 0.10%	**Model 2** Semi Bundled 0.25%/£12.50	**Model 3** Unbundled 0.15%/£27
Custody Charge	0.30% – 0 to £500k 0.25% – £500k to £1m 0.15% – £1M – £5m 0.10% – £5m+ £300 minimum per annum	0.25%	0.15%
Transaction Charges	**Trade execution charge:** £0 (Fair usage policy applies) **Overseas trades:** £15 per trade (inclusive of any global agent charge) **Overseas holding charge:** £24 p/a (charged as £2 per month for each overseas holding per account)	**Trade execution charge:** £12.50 **Overseas trades:** £15 per trade (inclusive of any global agent charge) **Overseas holding charge:** £24 p/a (charged as £2 per month for each overseas holding per account)	**Trade execution charge:** £27 **Overseas trades:** £15 per trade (inclusive of any global agent charge) **Overseas holding charge:** £24 p/a (charged as £2 per month for each overseas holding per account)
Account Maintenance	£0	£0	ISA / GIA: £50+VAT p/a Other: £0
Client Valuations	Up to 4 free valuations p/a	Up to 4 free valuations p/a	Up to 17.50+VAT per report
CGT Service Including Annual Report	£125+VAT per account p/a	£125+VAT per account p/a	£125+VAT per account p/a

**Option 1 allows you to link household accounts for fee purposes*

Charges

Wealth manager charges can be tiered or a flat percentage. We can account for VAT and also split VATable/non-VATable charges. Please speak to your business development consultant for information on fees and charges related to further value-added services.

Raymond James does not have a formal minimum account size per client, but we do require that the average account size across the wealth manager's total portfolio of clients with Raymond James is at least £100,000.

For further information about ancillary services your clients may require, please visit our website.

Enhanced fund terms

- We are able to leverage the Raymond James group purchasing power, allowing us to achieve enhanced terms with fund groups
- Following the acquisition of Charles Stanley, further significant enhancements have been achieved, thereby providing meaningful savings to clients

Measurable price improvement

- Our trade execution capabilities allow us to achieve a material price improvement for the benefit of clients
- We executed approximately 297,000 trades for listed securities in calendar year 2022, delivering an average of 32bps in price improvement
- This represents an average (calculated as the mean) of £25.06 per trade, which covers a meaningful portion of trade and/ or custody charges

Get in touch with one of our Business Development Consultants

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