

Corporate Profile

Raymond James Investment Services Limited

Raymond James Investment Services Limited is the UK private client wealth management arm of Raymond James Financial Inc., a diversified financial services company headquartered in Florida, USA.

We offer a full support package to independent wealth managers which includes:



Open architecture environment



Research tools



Client reporting



Technology solutions

Raymond James is one of the fastest growing wealth management businesses in the UK, currently supporting over 100 independent practices, which represent a mix of private client investment managers and investment-focused financial planners. We commenced operation in the UK in 2001 and now have over 45,000 client accounts representing total assets of £15.3 billion.

By offering our wealth managers access to a broad range of assets and tax wrappers, investment selections can be made based on clients' unique needs

About Our Parent Company - Raymond James Financial, Inc.

Founded in 1962 and a public company since 1983, Raymond James Financial, Inc. is a diversified financial services company. Approximately 66% of the firm's revenue is generated by the Private Client Group, which is engaged in wealth management activities. In addition, Raymond James Financial has businesses focused on equity and fixed income capital markets, asset management and banking.

Raymond James is committed to putting clients' interests first, to act with the upmost integrity, to foster

the concept of independence, and to manage on a conservative basis with a long term view. This is a commitment that has led to 137 consecutive quarters of profitability.

Raymond James currently works with over 8,700 wealth managers located in the US, Canada and the UK. These wealth managers service accounts representing total assets of \$1.26 trillion. For more information on our parent company please visit www.RaymondJames.com.

Products and Services

Wealth Managers using the Raymond James Investment Management Platform own and operate their own businesses, while benefiting from the comprehensive support of a recognised leader in private client investment management. We seek to be the first choice for wealth managers and their clients by exhibiting superior standards of quality and service to investors, and through our commitment to providing wealth managers with a broad range of investment products, exceptional support and integrated solutions.

For investments, we offer access to all asset classes, including:

- Equities, bonds, investment trusts and Exchange Traded Products (ETPs) traded on all major markets
- Raymond James is a London Stock Exchange member firm; our direct market access provides efficient and cost effective trade execution in real time with no additional third party broker fees for retail trades in London listed securities
- Funds (UK registered and offshore funds; GBP, USD, Euro share classes)

We also provide access to a full range of tax wrappers, including:

- ISAs and JISAs, with Raymond James as the ISA manager
- Pension wrappers, offered by 50+ pension administrators, including SIPP, SSAS, QROPS and QNUPS
- A range of offshore portfolio bond wrappers

The Raymond James Investment Management Platform delivers a highly competitive wealth management solution with optimal efficiency and control.

Why choose a Raymond James Wealth Manager?

At Raymond James, we understand that a successful relationship between a client and their wealth manager is based on professionalism, communication and trust. Hence, the entire infrastructure of our business is based on the fundamental principle of putting investors first.

With Raymond James providing dedicated support, wealth managers can concentrate on what they do best: creating unique wealth management solutions to meet their clients' needs.